**Policy: 2.009**

**Title**
Program Evaluation and Institutional Effectiveness

**Responsible Executive:**
Provost and Vice President of Academic Affairs

**Responsible Office:**
Planning and Institutional Performance

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**POLICY STATEMENT**

This policy allows the University to gauge how well it is accomplishing its mission and provides a sound foundation for informed decision-making at the program and institutional levels. It also allows the University to share its performance story with its accrediting bodies, regulators, and its constituents to assure them it is effectively accomplishing its purposes.

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**REASON FOR POLICY**

This policy is necessary to define the means by which the University will assess the effectiveness of its programs and services in the accomplishment of its mission and to demonstrate continuous improvement in their delivery and efficacy. The policy provides a framework necessary for compliance with Core Requirement 2.5 and Comprehensive Standard 3.3.1 of the Commission on Colleges of the Southern Association of Colleges and Schools, FGCU’s regional accreditation body. The policy also addresses requirements of Board of Governors Regulations 8.015 Academic Program Review and 8.016 Student Learning Outcomes Assessment. This policy combines three previous FGCU policies: 2.004, 2.005, and 2.009.
APPLICABILITY
AND/OR
ACCOUNTABILITY

This policy is applicable to all functional units of the University appearing on the “Summary of Organization” Organizational Chart.

DEFINITION OF KEY TERMS

A. Academic Learning Compact (ALC) - A student-friendly, jargon-free document describing expected core student learning outcomes for a baccalaureate program. Each baccalaureate program will have an ALC that is available to current and prospective students on the University’s website. At a minimum, each ALC contains the following: (a) a paragraph that describes the program’s mission or purpose; (b) statements that describe expected core student learning outcomes in the areas of content/discipline knowledge and skills, communication skills, and critical thinking skills; and (c) statements that describe possible evaluative exercises that students may encounter in the program.

B. Academic Program Assessment Plan and Report – A document produced and updated by program faculty on a periodic basis. All goals and reports related to the faculty role in assessment are merged into this integrated planning and reporting process. It is divided into two parts, the plan and the report. Both of these are further subdivided into three parts – one each for teaching, scholarship, and service. All elements are designed to meet both BOG and SACS requirements, including student learning outcomes, academic program review, institutional effectiveness, and strategic planning at the program level.

C. Academic Program Review and Self-study - A process for the periodic assessment of the status of academic programs leading to a degree. It occurs on a seven year cycle and incorporates an external review of a program-faculty-generated self-study regarding the requirements identified in BOG Policy 8.015. Elements include (1) a review of the mission(s) and purpose(s) of the program within the context of the university mission and BOG Strategic Plan; (2) teaching, research, and service goals and objectives, including student learning outcomes; (3) an assessment of how well the goals, objectives, and learning outcomes are being met; how the assessment results are used in continuous program improvement; and the sufficiency of resources and support services to achieve goals/objectives; and (4) a review of lower level prerequisite courses to ensure that the program is in compliance with State-approved common prerequisites and a review of the limited access status of the program (for baccalaureate
programs).

D. Organizational Unit Annual Report – A document that sets forth the mission and goals of an organizational unit in relationship to the goals of the University strategic plan, describes how the goals are to be achieved, how success will be determined, and how results of the assessment of the goals will be translated into the improvement of programs and services delivered by the unit.

E. Organizational Unit – A functional unit (e.g., office, division, college) below the level of Vice President identified on the most recent “Summary of Organization” organizational chart maintained on the University’s website.

PROCEDURES

The University will establish goals for all its organizational units and goals and outcomes for each of its academic programs. These goals will be derived from and be consistent with the stated mission and purpose of the University and responsive to the University strategic plan. The establishment, implementation, assessment, and analysis of academic program goals and outcomes are the joint responsibility of faculty and administration.

Academic Program Assessment Plans and Reports

A. Academic Program Assessment Plans and Reports will be prepared for all degree majors and certificate programs of the University and updated on a periodic basis. The content and implementation process for these plans and reports is the joint responsibility of the faculty and the Administration. The process will be designed in consultation with Faculty Senate, the Strategic Planning and Institutional Effectiveness Committee, the Assessment Council, and the Office of Planning and Institutional Performance. A template guiding the process is appended to this policy and can be changed based on consultation among the aforementioned. The assessment plan and/or report may be updated annually, as needed.

B. Information gleaned from the reports will reflect
improvements made or to be made to the program, and along with data drawn from other sources, be used to inform funding allocations made by the Provost/Vice President for Academic Affairs and the College deans.

C. Information from these periodic reports will be included in the Academic Program Review and Self-Study.

**Organizational Unit Annual Reports**

A. Organizational Unit annual reports will be prepared by all major organizational units of the University. The unit annual reports will address specific guidelines supported by the Planning and Budget Council and will express goals of the University strategic plan in terms of unit goals. The reports will document past efforts at continuous improvement and guide future initiatives directed toward this end. The reports will also include goals for the coming year that may be considered as priorities for funding. The reports will be submitted to the Office of Planning and Institutional Performance according to established timelines.

B. Information derived from the unit annual reports, along with data and information drawn from other sources, will be used to inform funding allocations and planning decisions of the President’s Cabinet and the University Board of Trustees.

**Academic Program Review and Self-Study**

Academic program review will occur on a seven-year cycle in accordance with BOG Regulation 8.015, as defined above. The review will include the development of a self-study that addresses key elements contained in the above BOG regulation. An external consultant will be selected from a list approved by the Program Review Team of the Faculty Senate, based on nominees from the Program, and in collaboration with the Office of Planning and Institutional Performance. That consultant will be engaged to assist in the review. The consultant’s report will include an analysis of the Program Assessment Plans and Reports with recommendations for improvement the Program Review Team will
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comment on the documents and provide comments which will be shared with the program and college leadership for response. The program and college leadership will meet with the Provost to discuss the review and formulate an institutional response that will be the subject of a subsequent one-year follow-up meeting. Results from the program review as well as progress made toward fulfillment of recommendations for improvement will be described in the college’s annual report.

Policy Implementation Roles

A. Policy Implementation Roles within Academic Affairs: Assessment Council, General Education Director, Council of Deans, Provost, Office of Planning and Institutional Performance, and Faculty Senate.

The Assessment Council, a committee comprised of Associate Deans from each of the colleges and a representative of the Dean of Library Services, along with in-unit faculty representation from academic program leadership (the latter working in coordination with Faculty Senate Leadership), is responsible, with the deans, for ensuring that these institutional effectiveness directives are fully implemented within these academic organizational units. The General Education Director, working with the General Education Council of the Faculty Senate, the Assessment Council, and the deans, is responsible for coordinating and managing the assessment of the General Education program. The Assessment Council will meet periodically with the Council of Deans to discuss progress and issues. The Office of Planning and Institutional Performance will support the Assessment Council and be responsible for evaluating the success of these efforts.

Within the context of the institutional resources and support available and provided by the administration and/or Faculty Senate, faculty are responsible for the development of goals, outcomes, objectives, and assessments associated with their affiliated academic programs; implementing the assessments; analyzing assessment data; and then identifying, implementing, and reporting data-based
improvements related to their programs.

**Role of University Board of Trustees**

Progress on the attainment of strategic planning goals intended to fulfill the University’s mission will be monitored by the University’s Board of Trustees through its annual performance review of the President. The PBC will use the results of this process in the modification of the strategic plan as it deems necessary.

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President ___________________________ Date ___________________________