Information For Course Reference # 11036 in Spring 2017

General Course Information

CRN 11036

Course Title Legal and Ethical Issues

Description Study of the legal, political, and social institutions which affect managers. Emphasis on public laws and regulations. HYBRID class meets on Wednesday in-class on these dates: 1/18, 2/1, 2/15, 3/1 (7:00-8:15 pm), 3/15, 3/29, 4/12, & 4/26. Final Exam TBA. ~ Additional online activities required. ~

College Business

Division Business

Subject Area Business Law

Course Number BUL 6456

Credit Hours 3

Meeting Information

<table>
<thead>
<tr>
<th>Start Date</th>
<th>End Date</th>
<th>Days</th>
<th>Time</th>
<th>Room</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jan 18, 2017</td>
<td>Jan 18, 2017</td>
<td>W</td>
<td>05:30 pm - 08:15 pm</td>
<td>LH 1206</td>
</tr>
<tr>
<td>Feb 01, 2017</td>
<td>Feb 01, 2017</td>
<td>W</td>
<td>05:30 pm - 08:15 pm</td>
<td>LH 1206</td>
</tr>
<tr>
<td>Feb 15, 2017</td>
<td>Feb 15, 2017</td>
<td>W</td>
<td>05:30 pm - 08:15 pm</td>
<td>LH 1206</td>
</tr>
<tr>
<td>Mar 01, 2017</td>
<td>Mar 01, 2017</td>
<td>W</td>
<td>07:00 pm - 08:15 pm</td>
<td>LH 1206</td>
</tr>
<tr>
<td>Mar 15, 2017</td>
<td>Mar 15, 2017</td>
<td>W</td>
<td>05:30 pm - 08:15 pm</td>
<td>LH 1206</td>
</tr>
<tr>
<td>Mar 29, 2017</td>
<td>Mar 29, 2017</td>
<td>W</td>
<td>05:30 pm - 08:15 pm</td>
<td>LH 1206</td>
</tr>
</tbody>
</table>
Distance Learning/Off Campus Course Information

Is this a DL Course? No, it is an on-campus course.

Instructor Information

Office Hours  Office Hours - Fall 2015

Tuesday: 3-5 pm
Wednesday: 3 – 5pm
Thursday 4 – 5 pm
or by appointment

Teaching Philosophy I thoroughly enjoy teaching. I view my role in the classroom as teacher and mentor to my students. One of my strengths is to take complex legal issues and clarify them in terms that are relatable to the non-lawyer. My approach to teaching law courses is to focus on the application of those concepts in the world of business. In other words, I aim for class discussions that put the legal concepts in the framework that students will likely encounter in their future jobs or businesses. I prefer a collaborative learning process where students engage in case analyses and class discussion. I provide timely feedback to the students to reinforce learning.

Conversely, the students must accept responsibility for their learning by being prepared for and participating in class. They must develop and use the study skills necessary to be successful. The most effective motivation is internal rather than external.

Additional Instructor Email Communication: Please use my FGCU email address to contact me. Please do not email me through
Info

any other email system such as Canvas. I do not check email on the Canvas system. Also, I will contact you using your Eagle email address specified on the class list, so please make sure that your email account is active. While some students may use other e-mail addresses (e.g., Gmail, Yahoo, etc.), I prefer to communicate through Eagle email in order to better protect your privacy. Also, you may stop by during my office hours to meet with me or you may schedule an appointment.

Not Legal Advice: No attorney-client relationship is formed by any communications between a student and the professor. Although the professor is an attorney, students should not ask for help, advice, or guidance with a personal legal problem, and should not send their professor confidential or privileged information. All lectures, discussions, conferences, and any other communications with the professor, whether oral, written, electronically transmitted, or otherwise, are for educational and general informational purposes only, and do not constitute legal advice. Any suggestion of, or response to, hypothetical fact situations are necessarily limited in scope, and should not be construed as legal advice. If a student requires legal advice, the student is encouraged to consult with their attorney.

As of fall 2015, all faculty members are required to confirm a student’s attendance for each course by the end of the first week of classes. Failure to do so will result in a delay in the disbursement of your financial aid. The confirmation of attendance is required for all students, not only those receiving financial aid.

In this course the confirmation of attendance will be the completion of the syllabus quiz in Canvas. See the draft “COURSE SCHEDULE of ASSIGNMENTS and ACTIVITIES” below. Failure to complete the mandatory attendance confirmation syllabus quiz by the deadline will result in a 3 point reduction in overall course points.

Course Materials

Required Course Materials

Course Policies, Learning Objectives, and Agenda

Grading, Attendance, and Other Policies

ATTENDANCE AND ASSIGNMENTS – PARTICIPATION:

Attendance and completion of assignments are considered minimum requirements for all students.

Failure to be prepared for class will constitute an absence. Failure to attend class on time will constitute an absence. More than three absences, e.g., actual absences as well as absences assigned for lack of preparation or tardiness, will result in a reduction of your grade by a letter grade. If you are absent for more than three classes, you will be assigned an F for the course. This penalty assessment policy applies regardless of performance on written examinations and the penalty is at the discretion of the instructor.

The instructor retains the discretion to approve excuses on a case-by-case basis. If you miss class for a medical reason, do not ask the instructor to be excused without written documentation from a medical doctor or nurse practitioner. Participation in FGCU team athletic events is also a reasonable excuse for missing class.

For active and quality participation, the Instructor reserves the right, in the Instructor's sole and absolute discretion, to increase your final grade by a half of letter grade. This adjustment is solely within the discretion of the Instructor. In addition, an absence assigned for lack of preparation may, at the instructor's discretion, be removed if you are called upon during class on a subsequent date and do in fact correctly answer the questions posed by the instructor. Class questions will not be limited to the homework but will also include any material in the chapters assigned.

GRADING:

The exams are set forth below. Each exam will consist of objective
questions and possibly short-answer essays. The second exam (final) will be cumulative.

Each student’s grade will be based on the following:

Exam 1 40%
Exam 2 50% cumulative

Paper or homework, if collected will amount to 10% of grade
In the event a paper is not assigned or homework is not collected, then the second exam will be 60% of the grade.

Topic: to be assigned.

The grading scale for final grades is:

Grade  Total Points
A  90-100
B  80-89
C  70-79
F Below 69

Instructor reserves the right to assign + to students grade. Also the scale may be adjusted to accommodate a curve of the final Grade. Instructor reserves the right to modify the syllabus or the topics covered during the semester. The topics covered may not be in the order identified below or in the assigned materials.

Make-up exams will not be given without the instructor’s approval. If you miss an exam without a reason approved by the instructor, you may receive a “0”. The instructor’s discretion is final. You are responsible for all material assigned even if it is not covered in a class lecture. You are also responsible for material presented in class that is not covered in the textbook. You are also responsible for any outside reading material assigned by the instructor. Questions on outside reading material or information discussed in the class may show up on an exam.

**HOMEWORK ASSIGNMENTS:**

Each student is responsible for writing answers to the problems assigned at the end of the chapters. The instructor may collect homework answers. All HOMEWORK ASSIGNMENTS ARE TO BE hand written and if collected will constitute participation or the lack
thereof. We will generally do the homework at the end of the of each topic. Homework to be assigned in class.

Test questions and Scantrons are the property of the instructor/university and are not returned to students. Students may not make copies of test questions. Students are encouraged to review the Scantron and test questions during office hours or by appointment. Test scores may be posted on Canvas. Course letter grades are posted on Gulfline in accordance with the Registrar's deadline.

Tests are required to be completed during the designated time allotted. The instructor keeps the tests and Scantrons. Tests are closed book and closed notes in class but may be open book and open note at the discretion of the instructor if the exam is administered online. Students may be assigned seats for testing purposes. Tests are timed assessments of learning. Students may not talk with anyone (except the instructor) during the tests.

**Assigned Readings:** Be prepared to discuss the cases and problems in each of the assigned chapters.

1. Departmental Policies and Resources:
   1. **CALCULATOR.** Texas Instruments BA II PLUS. NOTE: The required calculator is the only electronic device allowed to be used during class. UNLESS PERMITTED BY THE INSTRUCTOR, THE USE OF ALL OTHER ELECTRONIC DEVICES (phones, pads, laptop computers. etc.) is PROHIBITED DURING CLASS.

   2. The schedules for the departmental courses offered (information on semester, day/night, other) are at:
      ACG, TAX, and BUL (BS) - http://www.fgcu.edu/cob/acg/acg_annual.pdf
      FIN (including ECO 3101) and REE (BS) - http://www.fgcu.edu/cob/fin/fin_annual.pdf
      ACG, TAX, and BUL (MS) - http://www.fgcu.edu/cob/msacg/grad_acg_annual.pdf

   3. Planning for pre-registration and graduation is your responsibility: The above schedules and LCOB advisors, along with the course prerequisite sequences described in the FGCU Catalog and degree program sheets, should assist you in ensuring that your plans are successfully implemented. Course substitutions and prerequisite exceptions will be granted only for exceptional circumstances that are clearly beyond the student's control. Poor or lack of planning is not
one of those circumstances.

4. Useful FGCU Resources:
Florida Gulf Coast University Catalog (http://www.fgcu.edu/catalog/)
Florida Gulf Coast University Student Guide Book
(http://studentservices.fgcu.edu/JudicialAffairs/)
Florida Gulf Coast University Code of Conduct
(http://studentservices.fgcu.edu/JudicialAffairs/)

II. Vision, Mission, and Guiding Principles:

Vision

The Lutgert College of Business will be known for excellence in applied business education.

Mission

The Lutgert College of Business educates and engages students and businesses in a collaborative community. We offer high quality educational programs that prepare our students to thrive in their professional careers.

Guiding Principles:
1. Support the preservation of the AACSB accreditation.
2. Ensure that we continue to add value: to our products (students), our customers (employers and parents), and the community in which we work (FGCU colleagues, accounting professionals, and SWFL community).

III. University Statements:

Academic Behavior Standards and Academic Dishonesty
All students are expected to demonstrate honesty in their academic pursuits. The university policies regarding issues of honesty can be found in the FGCU Student Guidebook under the Student Code of Conduct and Policies and Procedures sections. All students are expected to study this document which outlines their responsibilities and consequences for violations of the policy. The FGCU Student Guidebook is available online at http://studentservices.fgcu.edu/judicialaffairs/new.html

University Nondiscrimination Statement
Florida Gulf Coast University is committed to ensuring equity and fairness for all University employees, students, visitors, vendors, contractors and other third parties. As such, the
University prohibits discrimination on the bases of race, color, national origin, ethnicity, religion, age, disability, sex (including sexual harassment/assault), gender identity/expression, marital status, sexual orientation, veteran status or genetic predisposition with regard to admissions, employment, programs or other activities operated by the University. This prohibition extends to enforcement of Title IX of the Education Amendments of 1972. Questions or complaints should be directed to the Office of Institutional Equity and Compliance (OIEC). The OIEC’s phone number is (239)745-4366; the OIEC email address is OIEC@fgcu.edu.

Student Observance of Religious Holidays
All students at Florida Gulf Coast University have a right to expect that the University will reasonably accommodate their religious observances, practices, and beliefs. Students, upon prior notification to their instructors, shall be excused from class or other scheduled academic activity to observe a religious holy day of their faith. Students shall be permitted a reasonable amount of time to make up the material or activities covered in their absence. Students shall not be penalized due to absence from class or other scheduled academic activity because of religious observances. Where practicable, major examinations, major assignments, and University ceremonies will not be scheduled on a major religious holy day. A student who is to be excused from class for a religious observance is not required to provide a second party certification of the reason for the absence.

Disability Accommodation Services
Florida Gulf Coast University, in accordance with the Americans with Disabilities Act and the university’s guiding principles, will provide classroom and academic accommodations to students with documented disabilities. If you need to request an accommodation in this class due to a disability, or you suspect that your academic performance is affected by a disability, please see me or contact the Office of Adaptive Services. The Office of Adaptive Services is located in the Wellness Building. The phone number is 239-590-7956 or Video Phone (VP) 239-243-9453. In addition to classroom and campus accommodations, individuals with disabilities are encouraged to create their personal emergency evacuation plan and FGCU is committed to providing information on emergency notification procedures. You can find
information on the emergency exits and Areas of Rescue Assistance for each building, as well as other emergency preparedness materials on the Environmental Health and Safety and University Police Department websites. If you will need assistance in the event of an emergency due to a disability, please contact Adaptive Services for available services and information.

**Center for Academic Achievement**

The Center for Academic Achievement (CAA) provides academic support services to all FGCU students. Students can take advantage of our free peer tutoring and Supplemental Instruction sessions for lower-level math and science courses, as well as workshops to facilitate the development of skills necessary for college success. If you would like to participate in any of our programs, learn about tutoring services, or meet with an Academic Retention Coordinator, please visit the CAA in Library 103 or call us at (239) 590-7906. Our website is [www.fgcu.edu/caa](http://www.fgcu.edu/caa).

**Video and Audio Taping of Class Lectures**

Students are not allowed to audio tape or video tape class lectures – with the exception of any student that has a documented disability and the OAS determines the best reasonable accommodation for the student is to allow them to audio tape or video tape class lectures. Additional exceptions may be granted in the discretion of the professor. When tape recording is allowed, a Tape Recording Agreement Form must be signed by the student.

**Respondus Monitor – Remote, Online Exam Monitoring**

In order to protect the integrity of online assessments that are delivered to students off site in a non-proctored location, this course may employ Respondus Monitor technology that will allow for the web-enabled monitoring of exams and quizzes. Students must own a computer device and an associated webcam that meet the minimum requirements of the University’s standard remote monitoring system. Additional information:

*Respondus Monitor overview:*


*Respondus Monitor Faculty Training and Workshops:*

TBA
Respondus LockDown Browser – Classroom and/or Remote Online Exams

In order to protect the integrity of classroom or remote online exams, this course may employ Respondus LockDown Browser technology that will allow for the student’s temporary restriction to a designated online testing website, disabling the ability to print, copy, access other applications or move to any other URL for the duration of the assessment. This technology may be used on campus in University computer classrooms or for remote delivery of quizzes and exams. [For online classes only: students must own a computer that meets the minimum requirements of the University’s standard lock down browser application.] Additional information:

- Respondus LockDown Browser Faculty Training and Workshops: TBA

Lecture Capture Technology/FGCU Capture – Classroom Recording

This course may employ technology that will allow for audio and/or video recording of live classroom sessions. This lecture capture technology is utilized for the sole purpose of enhancing student learning. It may provide for supplemental student instruction via secure links to recorded session(s), the live stream of courses, presentations of off-site guest speakers and/or the delivery of course instruction utilizing “flipped classroom” methodologies. Student questions and/or comments may be included as a part of any session being recorded. See FGCU-CAPTURE for additional details and training [http://aets.fgcu.edu/fgcucapture.asp](http://aets.fgcu.edu/fgcucapture.asp)

Resources for Faculty General Education
Information on General Education program requirements is available online at [http://www.fgcu.edu/General_Education/index.html](http://www.fgcu.edu/General_Education/index.html)

Service-Learning
Information on integrating service-learning into the course and course syllabus is available online at [http://www.fgcu.edu/Connect/](http://www.fgcu.edu/Connect/)

Distance-Learning
Information on distance learning courses is available online at [http://itech.fgcu.edu/distance/](http://itech.fgcu.edu/distance/)
Online Tutorials
Information on online tutorials to assist students is available online at http://www.fgcu.edu/support/Approved

Canvas Learning Management System and Demonstration Site
Information on Canvas is available online at http://canvas.fgcu.edu/ and https://fgcu.instructure.com/courses/7692

Library Resources
Main page: http://library.fgcu.edu/
Tutorials & Handouts: http://library.fgcu.edu/RSD/Instruction/tutorials.htm
Research Guides: http://fgcu.libguides.com/
Faculty Support: http://library.fgcu.edu/faculty_index.html

<table>
<thead>
<tr>
<th>MBA Learning Goals</th>
<th>Learning Goal</th>
<th>Learning outcome</th>
<th>Performance Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Content/Disciplinary knowledge</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>1. Have business knowledge.</td>
<td>Assess leadership skills &amp; develop personal leadership plan.</td>
<td>1. Exams, class participation, or some other type of graded work</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Evaluate the global impact of business decisions.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Examine the environmental impact of business decisions.</td>
<td></td>
</tr>
<tr>
<td><strong>Communication skills</strong></td>
<td>2. Demonstrate effective communication skills.</td>
<td>Graduates will be able to demonstrate effective written communication skills</td>
<td>2. Exams, class participation, or some other type of graded work</td>
</tr>
<tr>
<td><strong>Critical thinking</strong></td>
<td>3. Critical thinking &amp; problems solving.</td>
<td>Solve business problems using critical thinking skills.</td>
<td></td>
</tr>
<tr>
<td>Apply critical thinking skills to ethical scenarios.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Synthesize interdisciplinary knowledge to make strategic decisions.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Exams, class participation, or some other type of graded work</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Week</td>
<td>Chapter</td>
<td>Notes</td>
<td></td>
</tr>
<tr>
<td>------</td>
<td>---------</td>
<td>-------</td>
<td></td>
</tr>
<tr>
<td>Week 1</td>
<td>Ch. 3 – Sources of Law, Courts, and Dispute Resolution</td>
<td><strong>Quiz - Online on Canvas</strong></td>
<td></td>
</tr>
<tr>
<td>Week 2</td>
<td>Ch. 2 – Ethics and the Law</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| Week 3 | Ch. 4 – Constitutional Basis for Business Regulation  
Ch. 6 – Administrative Law | |
| Week 4 | Ch. 9 – Torts and Privacy Protection | |
| Week 5 | Ch. 10 – Product Liability | |
| Week in class on campus | **Exam 1 - Chapters 2, 3, 4, 6, 9 & 10** | |
| Week | Ch. 7 – Contracts | |
| Week | Ch. 7 – Contracts cont’d. | |
| Week | Ch. 5 – Agency  
Ch. 11 – Intellectual Property | |
| Week | Ch. 12 – The Employment Agreement  
Ch. 13 – Civil Rights and Employment Discrimination | |
<p>| Week | Ch. 19 – Forms of Business Organizations | |</p>
<table>
<thead>
<tr>
<th>Week</th>
<th>Ch. 20 – Directors, Officers, and Controlling Shareholders</th>
</tr>
</thead>
<tbody>
<tr>
<td>Week</td>
<td>Ch. 21 – Public and Private Offerings of Securities</td>
</tr>
<tr>
<td></td>
<td>• Exhibit 21-1 – Selected Sections of the 1933 &amp; 1934 Acts</td>
</tr>
<tr>
<td></td>
<td>• Section 21-1e – Sarbanes-Oxley Act of 2002</td>
</tr>
<tr>
<td></td>
<td>• Sec. 21-4a – Security</td>
</tr>
<tr>
<td></td>
<td>• In Brief – Decision Tree (p. 659)</td>
</tr>
<tr>
<td></td>
<td>Ch. 22 – Securities Fraud and Insider Trading</td>
</tr>
<tr>
<td>Study Day</td>
<td>No Classes</td>
</tr>
<tr>
<td>Thanksgiving Break</td>
<td>No Classes</td>
</tr>
<tr>
<td>Week</td>
<td>Ch. 15 – Environmental Law</td>
</tr>
<tr>
<td>Final Exam Week</td>
<td><strong>Final Exam</strong> – Cumulative unless otherwise announced.</td>
</tr>
</tbody>
</table>